National Technical Assistance Center on Positive Behavioral Interventions and Supports

State/District Implementation Workbook

BLUEPRINT FOR SCHOOL-WIDE POSITIVE BEHAVIOR SUPPORT TRAINING AND PROFESSIONAL DEVELOPMENT¹

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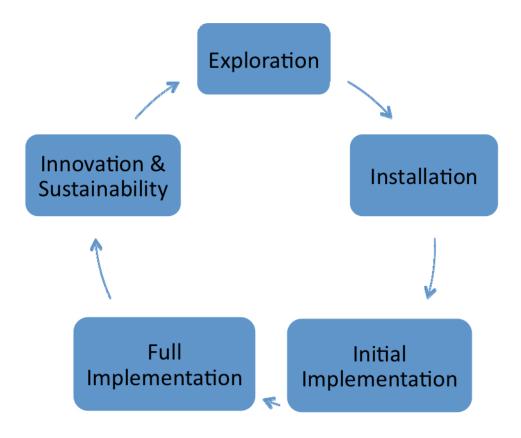




State/ District Implementation Workbook

In this document we provide guidance to organizational structures working with large numbers of schools, such as states, districts, or counties. Our goal is to provide a blueprint for successful development of the systems needed for initial and sustained implementation of SWPBS. This document uses the Phases of Implementation (Fixsen, Naoom, Blasé, Friedman, & Wallace (2005) as an overall organizer.

For each phase, guiding questions are provided to help teams move forward with planning and implementation. In addition, specific activities to be completed and roles for technical assistance are documented. Outcomes to be achieved within each phase are defined so teams can move forward with planning and implementation







Teams are encouraged to work with their Technical Assistance provider to create their SW- PBS Professional Development Plan using this document. After completing activities in this workbook, the following elements of SWPBS will be in place in your district/state.

- Admin and Leaders are aware of and agree to expectations, commitment and involvement in the SW-PBS process
- Teams (not individuals) representing the school community attend all professional development activities
- School-based administrators participate in all professional development
- External coaches (outside of school buildings) are in place and can guide implementation and provide performance feedback
- Stakeholders understand the multi-tiered intervention framework of SWPBS
- Stakeholders understand systems-level features necessary for initial and sustained implementation of SWPBS
- Professional development plan guiding implementation of SWPBS is linked to district/school strategic plans.
- Data are used to guide implementation of SWPBS (i.e., process tools to guide implementation, outcome measures used to track impact, performance assessments used to track skill development and continuous improvement)
- Phases of implementation document is used to guide process of planning and implementation of SWPBS
- School calendar includes opportunities for ongoing training for skill development and knowledge sharing for school staff, coaches, admin, teams, team leaders
- Long term commitment to sustained implementation of SWPBS is secured from participating schools
- All interventions and initiatives within schools are aligned with three-tiered logic model

This guidebook was created to ensure the development of these features.





Quick Reference Guide

II you are looking for information on	If you are	looking f	for information on
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Go<u>to....</u>

if you are fooking for information on	GO 10
Phases of Implementation	 Exploration Phase Installation Phase Initial Implementation Phase Full Implementation Phase
State/District Level Implementers	 Innovation and Sustainability Phase Implementation Blueprint Self Assessment State/District Implementation Checklist Exploration Phase Installation Phase Initial Implementation Phase Full Implementation Phase
Building Level Administrators	 Sample Implementation Plan Introduction to SW-PBS Role of Administrator in SW-PBS
Trainers	1 Role of Hammistrator in 5W 1 Bb
Coaches	 Role of Behavior Support Coach Introduction to SW-PBS for Coaches
School Leadership Team	 Role of School Leadership Team TIPS
Families	Intro to SW-PBS for FamiliesResources for Families
Tier 1	 Overview T1 Implementation Workbook Action Plan Agenda Sample Tools Team Implementation Checklist Benchmarks of Quality EBS Self Assessment Implementation Inventory SET SWIS Readiness
Tier 2	 Overview T2 Training Event Implementation Workbook for Advanced Tiers

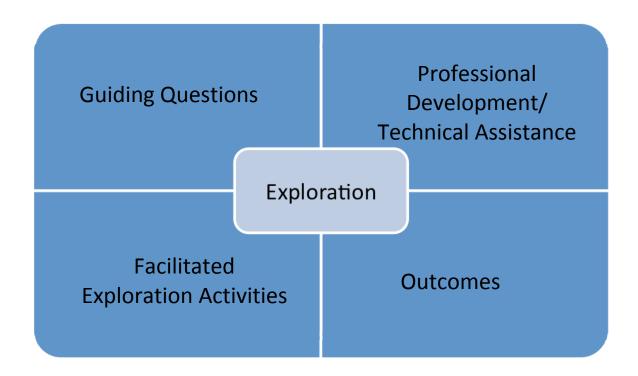




Tier 3	Overview			
	Implementation Workbook for T3			
Classroom	Overview			
	Implementation Workbook for Classroom			
	Cool Tools for Staff			
	Classroom Self Assessment			
CICO	Readiness			
	Overview			
	Progress Monitoring Tool			
	CICO Action Plan			
Progress Monitoring Tools	Team Implementation Checklist			
	Benchmarks of Quality			
	School-wide Evaluation Tool			
	EBS Self Assessment			
SWIS	Overview			
	Readiness			
	Coherent Process for Collecting Discipline			
	Referrals			
	Using Data to Guide Decision Making			
	Time out of Class Form Example			
Implementation Blueprint	Implementers Blueprint revised			
Products	Glossary			
	Behavior and MH Cross -Walking Like Terms			
	Needs Assessment			
Training Manual Examples	U0			
•	UConn			
	Florida			
	Illinois			
	Missouri			
	Maryland			
	Michigan			







Exploration Phase:

The purpose of the exploration phase, as defined by Fixsen et al. (2005) is to assess the match between an innovation and consumer need. It will be important to examine current practices, systems and resources to ensure the innovation can fit the unique context of the organization/community.

The following outcomes are achieved:

- Exploration Team identified and a regular meeting schedule is set
- Need for change identified
- Solutions are explored
- Team learns about systems and practices that result in sustained and effective implementation of SWPBS
- Stakeholders are identified
- Decision to move forward or to abandon effort is reached

In the exploration phase, the Technical Assistance Provider facilitates the exploration team through guiding questions and corresponding activities to complete the goals of this phase. At the end of the exploration phase the team has determined whether a problem exists and if so, what solution they wish to pursue.





Guiding Questions	Facilitated Exploration Activities (TA Provider and Exploration Team)	Outcome
Who should be invited to participate with initial exploration team?	Schedule meeting with individual initiating contact to provide an opportunity to listen to reasons for contact. Brainstorm to identify other possible change agents/ opinion leaders/etc.	Identify core group of District level personnel to form initial exploration team and continue with facilitation through guided questions.
Is there a need for change?	Review multiple data sources to identify areas in need of improvement Review State/ District Strategic Plan and Conduct Needs Assessment based on District specific dialogue	Identify areas of need in change
What is innovation and does it address our problem?	Initial Awareness Activities on SW PBS Presentations Websites Research State and District Examples Site Visits	Solutions are explored Teams learn more about systems and practices that result in sustained and effective implementation of SWPBS
What current practices and initiatives exist that are facilitators or barriers? What gaps are identified?	 Audit of current practices /programs/initiatives (e.g., Working Smarter, Guiding questions, Resource Mapping, Gap Analysis) Examine effectiveness of school level data system (progress monitoring vs. accountability) 	Teams learn more about systems and practices that result in sustained and effective implementation of SWPBS Teams learn more about district and school level data systems.





Will we move forward to plan for implementation?	 Review organizational structure to identify additional members to evolve exploration team to implementation team (People with authority to make policy/budget reallocation of resources and other changes are included on team) Exploration Team presents findings to Superintendent Superintendent endorses moving forward 	Stakeholders are identified (complete Exploration Team Identified) Decision to move forward or to abandon effort is reached
Is the team ready to begin installation of innovation?	Review needs, review what has been learned about innovation Communicate and plan for Readiness with interested schools Plan to identify demo schools (Work with the willing, start small, and start slow to build collective capacity. Consider structuring PLC among demonstration schools. Use PDSA) *note- Demo schools begin implementation to gain momentum for state and district level awareness and buy in Complete district checklist to guide planning	Decision to move forward or to abandon effort is reached Team moves forward to installation implementation activities Team abandons movement forward





Facilitated Exploration Activities

Sample of applicable data sources to review to identify area of need

	Discipline Data
	Attendance
	Graduation Rates
	Standardized Test Results
	Professional Development Plan
	Budget (study resource allocation)
	Special Education
	Data to measure progress towards goals included in strategic plan
	Other:
Awareness	resources
	Presentations
	Websites
	Research
	District Examples





Audit of current practices

Directions:

Use the blank triangle on the following page to complete Activity 1; the goal of this activity is to identify programs and interventions in place in your school system to support students:

Step 1: Identify all supports (defined as programs/initiatives/interventions/common practices that are in place in the majority of your schools. Consider completing this activity separately for elementary, middle, and high schools. For example, if most elementary schools are implementing the same character education program for all students you would list that program in the triangle. On the other hand, if only 1 or 2 schools is implementing a support then you would not include it. Make a list of all interventions

Step 2: Now, determine the Tier of support met by each intervention. Tier I supports are for what we do for all students. Tier II supports are for students who may not have responded to Tier I supports and are in need of additional support. Examples include additional instructional time, social skills groups, and so forth. Tier III supports are intensive support designed for individualized support.

Fill the triangle in by placing supports in the boxes that correspond with the tier/level of intervention.

Step 3: As you begin implementation of SWPBS, focus on your Tier I supports/interventions. Complete the matrix below the triangle.

Use the blank triangle on the following page to complete inventory of
practices/programs/initiatives at each tier.
Tier 1 (Universal): What supports (defined as
practices/programs/initiatives) are in place to support all students?
Tier 2 (Targeted)- What supports (defined as practices/
programs/initiatives) are in place to support some students needing
additional support (at-risk)
Tier 3 (Intensive): What supports (defined as practices/
programs/initiatives are in place to support a few students needing
intensive, individualized support?





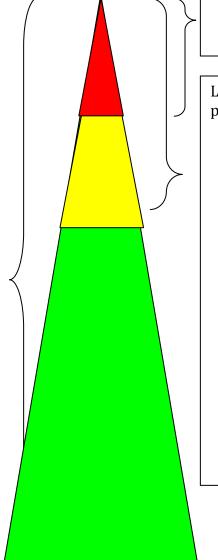
Step 1: Audit of Practices within Three-Tiered Model of Support

List <u>Individualized/Intensive</u> practices provided to a few for support

School: _____

Date: ___/___

List **Core** practices provided to all and intended support most



List <u>Strategic/Targeted</u> practices provided to some for support





> Sample Guiding Questions:

Record Tier I support/interventions in the top row. For each support/intervention, address topics in the far left column. For each support/intervention, consider the extent to which key features of Tier I support/interventions are in place. For interventions that have few key features, can they either be enhanced or removed? The goal is to help schools become more efficient by implementing as few Tier I programs as possible to achieve goals of the school, district, and state.

	Support/Intervention			
Outcomes	How is the intervention linked to district strategic plan? What is the goal (what will students say or do?)			
Systems/Process	Teaming Structure What are your current service delivery teams? (i.e. leadership, student services, problem solving team)- see working smarter worksheet to organize teaming structures What is the common role of the administrator across schools? How do administrators get access to skills?			





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	Request for Assistance Process			
	How do schools access district			
	resources to support			
	implementation of			
	programs/initiatives/interventions?			
	What communication loops or other			
	forums for collaboration are in place			
	to provide support in the division?			
	How long does it take to get support			
	in place?			
	Coaching and Staff Support			
	How are staff supported in			
	implementation of the intervention?			
	What are the professional			
	development structures that			
	support staff with skill			
	development and fidelity? (e.g.			
	coaching and performance			
	feedback)			
	How is progress towards goal			
B	achievement monitored?			
Data	How frequently is			
	support/intervention progress			
	monitored?			





➤ Working Smarter Worksheet : Examining Teaming Structure State Level/District Level

Service Delivery Team	Outcome/ Link to Strategic Plan	Who does the service delivery team serve? (students/staff/families /community?)	Names of Staff on team	Is this a non- negotiable mandate?	How is impact measured?	Overlap? Modify?





Sample District Organizational Structures- roles of stakeholders and how their role can support innovation ☐ Sample district organizational structure
Sample/template of presentation for Supt with components to consider including





Exploration Phase: District Team Checklist/Action Plan

Item	In place Not in Place	Action Step	Who is responsible?	When will item be complete?
Exploration Team Identified Team has members who can leverage resources, influence policy,				
Exploration Team uses action plan to guide implementation- action plan has goals and measurable outcomes goals fit with local needs/context				
Exploration Team schedules regular meetings to implement plan				
Exploration Team conducts site visits, meets with implementers currently implementing innovation				
Audit Complete T1 practices have clearly defined goals and measurable outcomes)				
 Gap Analysis Complete- Current structure/organization including professional development examined. Barriers and enablers are discussed and included in action plan 				
Exploration Team presents findings to Superintendent				
Superintendent endorses moving forward				
Plan in place to identify demo schools *note- Demo schools begin implementation to gain momentum for state and district level awareness and buy in				

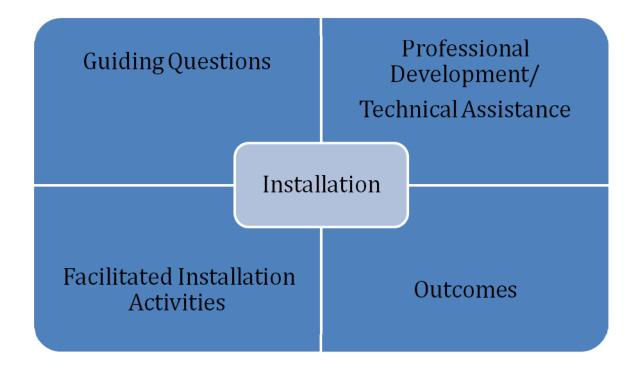
References

Fixsen, D. L., Naoom, S.F., Blasé, K.A., Friedman, R.M., & Wallace, F. (2005). Implementation Research: A Synthesis of the Literature, Tamp, FL: University of South Florida, Louis de la Parte Florida Mental Health Institute, The National Implementation Research Network (FMHI Publications #231).





Implementation Workbook



Installation Phase:

The purpose of the installation phase is to allocate or reallocate resources to initiate the innovation. People who have the authority to allocate resources are identified, awareness activities are taking place and analysis of roles/functions and overall organizational structure is closely examined.





The following outcomes are achieved:

- Awareness and overviews are planned, scheduled and completed
- Range of stakeholders included in awareness activities
- Stakeholders understand how innovation will fit into existing organization and be willing to examine effectiveness of current system
- Core group of implementers identified including leadership team and coordinator
- Leadership Team writes implementation plan
- Key stakeholders assign resources to implement

By the end of the installation phase, resources needed to implement innovation with fidelity and desired outcomes are in place. Use the resource table below with the District Team Checklist to guide installation phase planning.

Guiding Questions	Facilitated Installation Activities (TA Provider and Exploration Team)	Outcomes
What individuals have authority to reallocate resources/facilitate implementation and connect with state improvement plan	 Provide overview of innovation to key individuals to provide awareness of innovation, how it addresses identified needs, and supports strategic plan Provide information about resources necessary for implementation 	 Awareness and overviews are planned, scheduled and completed Range of stakeholders included in awareness activities Stakeholders understand how innovation will fit into existing organization and be willing to examine effectiveness of current system Initial key stakeholders (individuals with authority to allocate resources and affect policy) identified and commitments are secured (e.g., management team)
Who will guide implementation?	 Resource Mapping of existing district personnel and/or organizational infrastructure (complete or review from exploration phase) Identify key roles for implementation team (what the team does) and which district personnel should be 	 Organizational Chart completed Core group of implementers identified including leadership team and coordinator Identify members for implementation team (work to allocate FTE) Coordinator with fluency in implementation blueprint established Leadership Team is defined as a group of key stakeholders who have the authority to implement a 3-5 district level action plan, assign budget, change policy, align with strategic plan and assign core group of individuals to manage day-to-day





	included on the various teams. • Secure agreement with key stakeholders and potential implementers	operations. Implementation Team- core group of individuals who have adequate and designated time to manage day-to-day operations
What does implementation of the innovation involve?	 Provide national and state data/resources to facilitate installation (e.g., blueprint, data from other schools) Facilitate implementation team through long term implementation plan to include: coordinator, coach, training, evaluation plan for building coaching capacity long-term implementation plan established based on implementation blueprint 	 Leadership Team writes short –term implementation plan to establish model sites and stakeholders sign off on plan Key stakeholders assign resources to implement Develop long-term implementation plan that includes time lines, rate of growth, capacity building tasks (coaches, SWIS facilitators, SET assessors, data system)





Facilitated Installation Activities

	e overview of innovation to key individuals to provide awareness vation, how it addresses identified needs, and supports strategic Review sample awareness and overview presentations Arrange site visits to other districts or schools implementing initiative
infrastr • W • Re	ce Mapping of existing division personnel and/or organizational fucture (complete or review from exploration phase) Tho can serve in leadership roles such as coordinator, coach, data? Eview and revise "Working Smarter" document developed in exploration with adership team or initiate completion of "Working Smarter". Sample Working Smarter documents
which deteam (a • M • Record less to the second le	key roles for implementation team (what the team does) and district personnel should be included on the implementation and who is on team) leet with key stakeholders and potential implementers eview and revise "Working Smarter" document developed in exploration with adership team Sample leadership team members Sample agendas Sample meeting cycles enational and state data/resources to facilitate installation (e.g., ant, data from other schools)
Facilitat resourc	te implementation team through short term plan for securing tes to support implementation ample short-term plan
to include coor	te implementation team through long term implementation plande: rdinator, coach, training, evaluation for building coaching capacity g-term implementation plan established based on implementation blueprint Sample evaluation/monitoring tools Implementation Blueprint Sample long-term implementation plan Sample coaching capacity plan



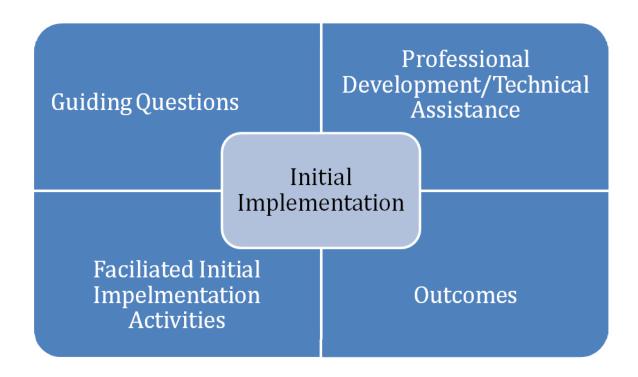


Phase: District Team Checklist/Action Plan

Item	In place Not in Place	Action Step	Who is responsible?	When will item be complete?
L	eadership Tea	am		
 Leadership Team Identified Team represents local stakeholders (teachers, professional development staff, support staff (school counselor, psychologists, health, social worker), administrators, mental health partners, juvenile justice, families, business partners etc. Stakeholders attend 90% of planned meetings, play active role in professional development activities, communicate commitment to larger school community, and support team decisions. 				
Leadership Team participates in promoting Political Support funding policy visibility				
Political Support, funding, policy, visibility Resource Mapping completed so that roles and people resources are clearly defined and time is allocated for implementation to occur				
Core group of Leadership Team identifies implementation team who is charged with implementation including training, coaching, evaluation and behavior capacity				
Roles assigned-				
Team has established a clear mission/purpose Clear Outcomes defined and linked to State/District Strategic Plan Improvement Goals				
Leadership and ImplementaitoTeam has priority status, works effectively and efficiently with other initiatives /programs				
Working Smarter forms completed and used for efficient integration of team with other teams/initiatives				
Team uses agenda that organizes team to work as an effective problem solving team.			_	_







Initial Implementation:

At this point, implementation actions will be carried out by range of implementers including district coordinators, building administrators, the PBIS school teams. The focus is on implementation of SWPBS in buildings within the district/state and building local capacity within the district. Although trainings are provided by external technical assistance provider, local trainers co-lead the training events.

At the end of the Initial implementation phase, innovation is in place in small number of schools, implementation largely guided by external TA providers (e.g., from the national TA Center, from the state or regional centers)





Guiding Questions	Activities completed by Technical Assistance Provider and Implementation Team	Activities	Outcomes
Who are initial implementers?	 Assist in using criteria to select initial implementers 	Readiness checklist used	 Initial implementers selected
How do we begin implementation?	 Providing training, coaching, and TA to initial schools, district, and state 	Plan, schedule, and coordinate trainings	 Initial schools are implementing innovation
How do we monitor fidelity and outcomes?	Provide evaluation tools and processes	 Tool(s) identified for monitoring fidelity and used to guide training and monitor implementation Outcome data system identified (e.g., SWIS) and used with exemplar schools 	 Evaluation plan implemented and data system identified





Facilitated Exploration Activities

Review sample Implementation plans
Review sample evaluation plans
Review process tools

Item- Examples to be hyperlinked to items	In Place Not in Place	Action Step	Who is responsible?	When will item be complete?
Initial	Implementers			
Trainers Identified				
Behavior Support Coaches Identified				
Role defined				
Building Level Administrators Identified				
Role defined				
Demor	nstration Sites			
Resources in place to	establish demo	onstration site	es	
Awareness events planned for building level				
leadership (can be single event series of events,				
school visits etc)				
Invitation to previews sent to local leadership				
Model schools invited- local examples used to				
build awareness				
Partnership Agreement between district and				
demo school secured				
Planning Phase (readiness criteria) for demo				
schools complete				
Demo schools identified				
	_			
	uation Plan	T	T	T
Process tools in place to guide implementation				
(i.e. Team Implementation Checklist,				
Benchmarks of Quality, SET, EBS Self				
Assessment)				
Evaluation Plan in place and includes list of				
tools and due dates etc				
Database in place (i.e. pbs assessment and pbs				
eval)				
Progress Reports, Annual Reports, Newsletter,				
presentations linked to information in				
database- team has easy access to information				

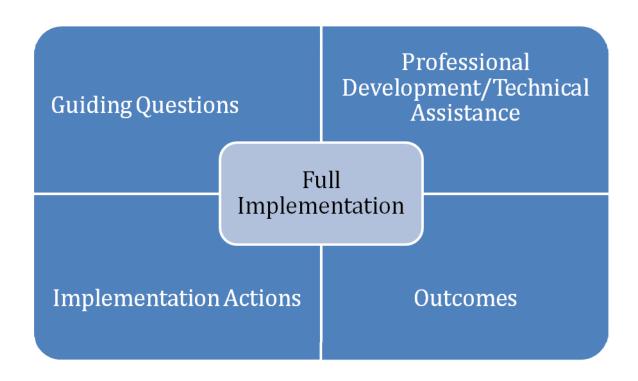




Training Events for School Teams and Coaches					
Training events are part of					
professional development calendar					
Credits/CEU available for attendees					
Partner with local university					
T1 Coaches Training Event					
scheduled					
Monthly Coaches meetings					
scheduled and planned on annual					
basis					
T1 Team Training Event scheduled					
Annual training events/meeting					
schedule planned for School teams					
Process Data informs training					
content		1= 1.5			
Training Content for School Teams and Coaches					
T1 Sample Overview Complete					
T1 Workbook Complete					
T1 Coach Overview Complete					
T1 Coach Workbook Complete					







Full Implementation:

Innovation is implemented and sustained by local stakeholders and is well-integrated into policy/written documentation

Guiding Questions	Activities completed by Technical Assistance Provider and Implementation Team	Activities	Outcomes
How do we build local training/coaching capacity?	 Build local training capacity Build local coaching capacity Provide examples of TOT models 	 Exemplar schools identified and trained First cohort of coaches identified and trained Build plan for training trainers 	 Local capacity around coaching, training and TA is developed Plan for scaling/TOT is in place
 What resources do we need to add more schools? 	Assist in developing	Develop marketing plan	Needed resources are secured

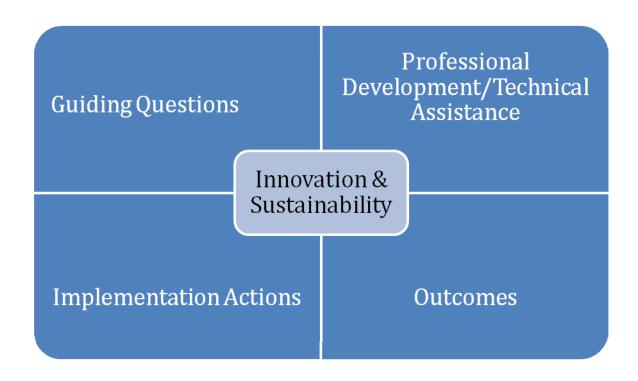




	T	1	
	marketing plan	 Assess existing 	 Action plan used to
	 Facilitate 	resources and	guide full
	understanding of	identify needs	implementation
	infrastructure	Integrate	
	needed for scaling	innovation with	
	Assist in	professional	
	developing plan	development	
	for scaling	activities	
		 Revise action plan 	
		for full	
		implementation	
		 Reallocation of 	
		roles (e.g., SPSY	
		role changes)	
How do we sustain fidelity	Provide models of	Select recognition	Recognition
and positive outcomes over	recognition	program	program instituted
time?	programs	Collect and	Data summaries and
	Provide examples	analyze data	cost/benefit
	of ways to	Coaches and	analyses used to
	summarize data	trainers use	monitor outcomes
	and conduct	blueprint to guide	
	analyses	implementation	
	, , , , , , , , , , , , , , , , , , , ,	initially and over	
		time	
		Facilitators fluent	
		with data tools	
		(e.g., SWIS,	
		progress	
		monitoring tools)	
		established and	
		providing TA to	
		schools	
		Outcome data	
		used to guide	
		modifications of	
		training and TA	







Guiding Questions	Activities completed by Technical Assistance Provider and Implementation Team	Activities	Outcomes
 How do we continue to braid innovation with new/existing initiatives? 	Provide national examplesUse Audit as overall	 Needs assessment conducted annually to determine 	 Formal process exists to blend new initiatives with SWPBS using logic of systems and outcome measures





	organizer	professional development needs Professional development provided matched to needs assessment Leadership committee established (in state or district) to monitor incoming initiatives and blend with innovation	necessary for implementation of the new (evidence-based) practice • Local context adapted to as needed (e.g., new initiatives) •
How do we become more efficient and effective?	Provide national examples	 Assess what is and is not working in TA model Modify training/TA provision as needed Monitor fidelity and outcomes as innovation is adapted 	 Multiple methods for receiving/providing training and TA developed and implemented Advancements in knowledge and skill provided to overall system Innovation continues to be implemented with high degree of fidelity Outcomes are maintained or enhanced over time
How do we share what we have learned?	 Provide examples of outlets (e.g., conferences, websites, politicians, school boards) 	Identify who needs information— who to share with	 Systems, practices, and outcomes shared Funding secured for innovation for long-term



